



**GOVERNMENT OF KERALA**  
**Finance (CSFC-A) Department**

**CIRCULAR**

No.81/2011/Fin.

Dated, Thiruvananthapuram, 26.11.2011

**Sub:- 13<sup>th</sup> Finance Commission Award - Implementation of Schemes -  
Instructions - Issued.**

13<sup>th</sup> Finance Commission has recommended, among other things, grants aggregating to ₹ 6371.50 crore for the State for the award period 2010-15, the details of which are indicated in Annex-I. The State Level Empowered Committee (SLEC) and High Level Monitoring Committee (HLMC), constituted as per the stipulations in the guidelines issued by Government of India (GoI), have already approved the Perspective Action Plans for 2010-15 and Annual Action Plans for the years 2010-11 and 2011-12 in most of the cases enabling the implementing Departments to take up and complete the projects within the stipulated time frame. Sanction orders have also been issued accordingly and sufficient funds provided in the Budget.

2. However, it has come to notice of the Government that the pace of utilisation of grants by majority of implementing Departments is quite slow. In the context of the slow pace of utilisation of grants, urgent initiatives need to be taken to expedite utilisation of grants already released by GoI. Otherwise, subsequent installments of grants may remain unreleased and would eventually lapse, resulting in huge loss to State exchequer.

3. In these circumstances, Government issue the following directions for the effective implementation of schemes under 13<sup>th</sup> FC recommendations.

- (i) All Implementing Officers shall take effective steps for the implementation of schemes so that the available central grant is utilised to the full extent.
- (ii) Administrative Departments concerned shall furnish to Finance Department monthly statements of expenditure of 13<sup>th</sup> FC Award schemes in the format annexed to this circular (Annex-II) before 10<sup>th</sup> of every month.
- (iii) Administrative Departments shall furnish Utilisation Certificate for the grants received from Gol in the prescribed format duly signed by the Secretary concerned to the Finance Department in time for onward transmission to Gol.
- (iv) The Implementing Officers shall ensure that all the conditions for the release of the grants, including those relating to Non Plan Revenue Expenditure (NPRE), which are due in subsequent years are strictly adhered to.
- (v) The stipulations in the guidelines issued by Gol in respect of individual schemes shall be scrupulously followed. These guidelines can be accessed at from the Finance Ministry's Website viz., [www.finmin.nic.in/TFC/guidelines.asp](http://www.finmin.nic.in/TFC/guidelines.asp) or through the link provided in Finance Department's website viz., [www.finance.kerala.gov.in](http://www.finance.kerala.gov.in).
- (vi) Schemes taken up for implementation should be in accordance with the Action Plans approved by the SLEC and HLMC.

4. Additional Chief Secretaries/Principal Secretaries/ Secretaries of the implementing Departments concerned are requested to monitor the progress of implementation of the schemes regularly and take measures to ensure that the above instructions are adhered to by their Departments. They are also requested to be ready with their reports on progress of implementation of such schemes for being presented in the forthcoming meetings of SLEC/HLMC on 13<sup>th</sup> FC Award.

**Dr.P.PRABAKARAN**  
**Chief Secretary**

To

All Additional Chief Secretaries/Principal Secretaries/Secretaries.  
The Administrative Departments concerned.  
The Heads of Departments concerned.  
All Sections of Finance Department.  
The Nodal Officer, [www.finance.kerala.gov.in](http://www.finance.kerala.gov.in).  
Stock File/Office Copy.

(Rs.in crore)

13 <sup>th</sup> Finance Commission - Grant-in-Aid (2010-15)							
Sl.No.	Sector	2010-11	2011-12	2012-13	2013-14	2014-15	TOTAL 2010-15
	1	2	3	4	5	6	7
I	<b>Elementary Education</b>	25.00	27.00	28.00	29.00	31.00	140.00
II	<b>Environment Related grants</b>						
1	Forest	16.94	16.94	33.88	33.88	33.88	135.52
2	Water Sector Management		44.00	44.00	44.00	44.00	176.00
3	Renewable Energy*						
III	<b>Improving Outcomes</b>						
1	Improving Justice Delivery	28.01	28.01	28.01	28.01	28.02	140.06
2	Incentive Grant for UID	9.92	9.92	9.92	9.92	9.92	49.60
3	Incentive Grant for Reduction of IMR **						
4	District Innovation Fund ***		7.00			7.00	14.00
5	Improving Statistical Systems at Districts & State Level	2.80	2.80	2.80	2.80	2.80	14.00
6	Data Base for Govt Employees & Pensioners #	2.50				7.50	10.00
IV	<b>Maintenance of Roads and Bridges</b>		220.00	232.00	244.00	257.00	953.00
V	<b>State Disaster Relief Fund</b>	102.31	107.22	112.38	117.81	123.50	563.22
	(Central Share)	98.31	103.22	108.38	113.81	119.50	543.22
	Grant for Capacity Building	4.00	4.00	4.00	4.00	4.00	20.00
VI	<b>Local Bodies Grant</b>	249.20	387.80	569.50	673.50	796.10	2676.10
	Basic Grant	249.20	289.00	337.70	400.10	473.70	1749.70
	Performance Grant		98.80	231.80	273.40	322.40	926.40
VII	<b>State Specific Grants</b>		375.00	375.00	375.00	375.00	1500.00
1	Upgradation of Police		25.00	25.00	25.00	25.00	100.00
2	Inland Waterways and Coastal Zone Management		50.00	50.00	50.00	50.00	200.00
3	Primitive Tribal Groups		37.00	37.00	37.00	37.00	148.00
4	Health Infrastructure		49.50	49.50	49.50	49.50	198.00
5	Fisheries		50.00	50.00	50.00	50.00	200.00
6	Upgradation of Prisons		38.50	38.50	38.50	38.50	154.00
7	Animal Husbandry		37.50	37.50	37.50	37.50	150.00
8	Kuttanad Development		75.00	75.00	75.00	75.00	300.00
9	Water Bodies		12.50	12.50	12.50	12.50	50.00
VIII	<b>GST Compensation grants \$</b>						
	<b>TOTAL GRANT-IN-AID</b>	<b>436.68</b>	<b>1225.69</b>	<b>1435.49</b>	<b>1557.92</b>	<b>1715.72</b>	<b>6371.50</b>
* A total grant of Rs. 5000 crore has been recommended for all states.State-wise allocation has not been specified. The grant falls due in 2014-15. The grant will be released based on State's achievements in installed capacity addition during the first four years of the award period.							
** A total grant of Rs. 5000 crore has been recommended for all states.State-wise allocation has not been specified. The grant will be released from 2012-13 onwards depending on the reduction in Infant Mortality Rate (IMR) achieved by the States with reference to the baseline level of 2009-10.							
*** The grant will be released in two installments. The second installment will be released after the State submitting a report on the end use of the first installment detailing the benefits created.Second installment is indicated as receivable in 2014-15.							
# First installment of Rs.2.50 crore was released during 2010-11 without any precondition to commence the scheme. The balance Rs.7.50 crore will be released after the State certifies the creation of the Database.The balance amount is indicated as receivable for the year 2014-15.							
\$ A total grant of Rs. 50000 crore has been recommended for all states.State-wise allocation has not been specified.							

13<sup>th</sup> FC Award

Statement of Progress of Expenditure for the month of .....

(to be furnished by 10<sup>th</sup> of every month)

Name of Department:

(Rs.in lakh)

1		Name of Scheme			
2		Head of Account			
3		Year I (2010-11)	Year II (2011-12)	Year III (2012-13)	Year IV (2013-14)
i.	Award Amount				
ii.	Expenditure				
iii.	Unspent balance				
4		Details of Utilisation Certificate furnished			
5		Current year's status*			
i.	Unspent balance, if any, brought forward from previous year				
ii.	Award Amount for the current financial year				
iii.	Total Amount for the current financial year (i+ii)				
iv.	Expenditure up to the previous month				
v.	Expenditure during the month under review				
vi.	Progressive Expenditure (iv + v)				
6	Percentage of expenditure against the total amount for the year				
7	Reasons for shortfall in expenditure, if any.				

\*(Component wise expenditure details may be separately attached)

Signature, Name & Designation of the  
Head of the Department